



Learning good prototypes for classification using filtering and abstraction of instances

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Abstract

We propose a framework for learning good prototypes, called prototype generation and filtering (PGF), by integrating the strength of instance-filtering and instance-abstraction techniques using two different integration methods. The two integration methods differ in the filtering granularity as well as the degree of coupling of the techniques. In order to characterize the behavior of the effect of integration, we categorize instance-filtering techniques into three kinds, namely, (1) removing border instances, (2) retaining border instance, (3) retaining center instances. The effect of using different kinds of filtering in different variants of our PGF framework are investigated. We have conducted experiments on 35 real-world benchmark data sets. We found that our PGF framework maintains or achieves better classification accuracy and gains a significant improvement in data reduction compared with pure filtering and pure abstraction techniques as well as KNN and C4.5. © 2001 Published by Elsevier Science Ltd on behalf of Pattern Recognition Society.

Keywords: Instance-based learning; Prototype generation; Instance abstraction; Machine learning

1. Introduction

The nearest neighbor (NN) algorithm and its derivatives have been proven to perform well in pattern classification on many domains [1,2]. These algorithms store the entire training set and classify unseen cases by finding the class labels of instances which are closest to them. Despite their high generalization accuracy, they suffer from high storage requirement, computational cost and sensitivity to noise.

One method for solving this problem is to develop advanced data structure and search techniques to speed up NN searching [3]. If the number of data instances is very large, it still requires high computational cost. Another method is to reduce the large data set to a small, representative prototype set. Removing non-representative

and noisy instances can reduce storage requirement and computational cost while maintaining or even improving the classification accuracy. Two lines of research have been proposed to learn good prototypes. One technique is known as instance-filtering approach. Instance-filtering techniques reduce data set by retaining representative instances from the original data set. The other line of research can be regarded as instance-abstraction approach which reduces the data set by generating artificial prototypes summarizing representative characteristics of similar instances.

The two techniques are used independently in the past. An initial examination on the integration of the two methods has been carried out by the authors [4]. In this paper, we conduct a thorough and in-depth investigation on the integrating technique. We propose a framework for discovering good prototypes, called prototype generation and filtering (PGF), which combines instance-filtering and instance-abstraction techniques by integrating the strength of both techniques using two different

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1 integration methods. The two integration methods differ
 3 in the filtering granularity as well as the degree of the
 coupling of the components. In order to characterize the
 behavior of the effect of integration, we categorize differ-
 5 ent kinds of instance-filtering techniques according to the
 locations of instances retained or removed, namely, (1)
 7 retaining center instances, (2) retaining border instances
 and (3) removing border instances. The effects of using
 9 different kinds of filtering in different variants of our
 PGF framework are investigated. In experiments on 35
 11 real-world benchmark data sets, the classification accu-
 racy and data retention rate of each variant of our method
 13 are investigated. The results are compared with those
 of pure instance-filtering and pure instance-abstraction
 15 techniques as well as KNN and C4.5. Empirical results
 show that the PGF framework maintains or achieves
 17 better classification accuracy and gains a significant
 improvement in data reduction compared with existing
 19 methods.

2. Our proposed algorithm

2.1. Motivation

21 Some works have been done on selecting representa-
 23 tive instances. In instance-filtering methods, editing rules
 are used to determine whether an instance should be re-
 25 tained as a prototype or not. These methods differ from
 search direction and locations of instances retained. For
 27 example, Hart proposes a condensed nearest neighbor
 (CNN) which is probably the earliest method to select
 29 representative instances [5]. CNN starts by randomly
 storing one instance for each class as the initial subset
 31 and stores instances misclassified by the current subset.
 A top-down variant of CNN, called reduced nearest
 33 neighbor (RNN) is proposed by Gates which removes
 instance if the removal does not cause any misclassifi-
 35 cation of other instances [6]. The edited nearest neigh-
 bor (ENN) algorithm proposed by Wilson eliminates
 37 instances misclassified by their k -nearest neighbors [7].
 A noise-tolerant instance filtering called NTGrowth is
 39 proposed by Aha and Kibler [8]. Later, Aha et al. formal-
 ize NTGrowth to the well-know IB2 and IB3 algorithm
 41 which is based on CNN storing misclassified instances
 [9]. IB2 is similar to CNN except that instances are nor-
 43 malized by the range of attributes and missing value are
 tackled while IB3 only accepts instances with a relatively
 45 high classification accuracy compared with the frequency
 of the observed class. The two algorithms provide noise
 47 tolerance. Zhang introduces typical instance-based learn-
 ing which stores typical instance in the region centers
 49 [10]. Wilson and Martinez introduce an instance pruning
 technique called RT3 removing an instance by consid-
 51 ering its *associates*, instances in the current selected
 instance set having it as one of their k -nearest neighbors

[11]. RT3 employs ENN to filter out noise first and
 removes an instance if most of its associates are cor-
 53 rectly classified without it. They further refine this tech-
 55 nique to form DROP1–DROP5 [12] and the integrated
 57 decremental instance-based learning which combines
 confidence and cross-validation accuracy in the distance
 59 measure [13].

Another approach for finding representative instances
 is the instance-abstraction method which generates
 61 prototypes by abstracting or averaging the original in-
 stances. Chang’s method learns representative instances
 63 by merging similar ones. It iteratively merges two closest
 instances and summarizes them by taking the weighted
 65 average of them [14]. Bradshaw introduces the *disjunc-*
tive spanning (DS) which merges instances with the ones
 67 they can be correctly classified [15]. Kibler and Aha im-
 prove DS by using an *adaptive threshold* to limit the dis-
 69 tance between two merged instances [16]. An algorithm
 called nested generalized exemplar (NGE) is proposed
 71 by Salzberg which stores instances as hyperrectangles
 [17]. Wettschereck combines the NGE with KNN to form
 73 a hybrid algorithm [18]. However, this algorithm stores
 the entire data set in memory. Domingos also proposes
 75 an integrated technique, the RISE algorithm, combining
 instance-based learning and rule induction [19]. Under
 77 this algorithm, instances are treated as rules and data
 reduction is achieved using specific rules formed by
 79 generalization of instances. Datta and Kibler introduce
 the prototype learner (PL) which learns artificial in-
 81 stances for each class by generalization of representative
 instances in nominal domains [20]. Then they propose the
 83 symbolic nearest mean classifiers (SNMC) [21] which
 attempts to learn a single prototype for each class using
 85 a modified Value Difference Metric proposed by Cost
 and Salzberg to weigh symbolic features [22]. SNMC
 87 uses k -means clustering to group instances of the same
 class and create artificial instances using cluster means.
 89 Bezdek et al. modify Chang’s method which averages
 instances using simple mean and merges instances of the
 91 same class only [23]. Recently, an instance-abstraction
 algorithm called FAMBL in language learning task is
 93 proposed by Van den Bosch. It forms hyperrectangles
 like NGE but a different instance merging procedure is
 95 used [24]. A technique known as *squashing* is proposed
 to scale down the data set by exploiting the statistical
 97 property of the instances [25]. However, this technique
 does not make use of the class label information if it is
 99 employed in classification problems.

We observe that instance-filtering and instance-
 101 abstraction approaches can be beneficial to each other.
 Filtering methods do not conduct generalization on
 103 instances so that they usually cannot gain a satisfac-
 tory level of data reduction [7]. With the help of
 105 instance-abstraction methods, instances in compact re-
 gions can be generalized to a few or single prototypes
 107 leading to a significant improvement in data reduction

1 rate. Also, the representative power of filtering meth- 55
 2 ods will be limited if the truly representative instances 57
 3 cannot be found in original data set. As abstraction 59
 4 approaches summarize the most representative charac- 61
 5 teristics of similar instances, the generated instances can
 6 be more representative than original ones. Therefore,
 7 the representation power of filtering approaches can be
 8 improved if abstraction technique is suitably integrated.

9 Instance-filtering can assist instance-abstraction
 10 too. Non-prototypical instances will be formed if
 11 distant instances, especially for outliers and exceptions,
 12 are grouped in abstraction methods. To avoid this, spe-
 13 cially designed filtering rules can be applied to remove
 14 outliers and exceptions first before applying abstraction.
 15 Filtering techniques can also be helpful in the middle
 16 of or after the abstraction process. We can design a fil-
 17 tering rule to remove any non-representative prototypes
 18 formed when the abstraction process is in progress.

19 We observe that there are two main factors affecting
 20 the performance of the integration of the two approaches.
 21 The first factor is the type of filtering techniques. We
 22 can classify filtering techniques into three types accord-
 23 ing to [11]. The first type of filtering methods retains
 24 central instances as representative instances in a cluster
 25 of data points. The second type of filtering retains bor-
 26 der instances of a cluster as representative instances. The
 27 third type of filtering removes border instances and treats
 28 the remaining ones as representative. As abstraction tech-
 29 niques attempt to generalize similar instances in compact
 30 regions, they work differently on instances in different re-
 31 gions. For example, center instances will be generalized
 32 to a larger extent compared with border instances.

33 The second factor affecting the performance of the
 34 integration is the filtering granularity. Filtering can be
 35 conducted on the original instances. To do this, one can
 36 employ a loose coupling by applying filtering as a
 37 preprocessing task and conduct abstraction subsequently.
 38 Alternatively, filtering can be conducted on the interme-
 39 diate prototypes generated when the abstraction process
 40 is in progress. We can design a tight coupling technique
 41 incorporating filtering into the abstraction process.
 42 Furthermore, the two factors will interact with each
 43 other leading to different behaviors of the integration
 44 algorithm.

45 We develop a general framework for the integration
 46 called PGF. Then we investigate different integration
 47 algorithms under our PGF framework.

2.2. The framework of our approach

49 A simple PGF framework has been first proposed by
 50 the authors in previous work [4]. In this paper, PGF is
 51 further developed into two variants which differ from the
 52 integration method of filtering and abstraction tech-
 53 niques. PGF consists of an instance-abstraction com-
 54 ponent and an instance-filtering component. We first

55 describe the abstraction component and each of
 56 the three filtering methods used. Then we present
 57 two different ways to integrate the two compo-
 58 nents in our PGF framework. We will also illus-
 59 trate the effect of different components using a
 60 hypothetic data set of two classes as shown in
 61 Fig. 1.

2.2.1. Instance-abstraction component

63 Our instance-abstraction method is based on an
 64 agglomerative clustering technique. A prototype is
 65 represented by a set of data instances together with
 66 the sufficient statistics, namely, the total number,
 67 mean and standard deviation of the instances. Fig.
 68 2 shows the pseudo-code of the instance abstrac-
 69 tion component, called ABS. Let P be the cur-
 70 rent prototype set. At each iteration, two proto-
 71 types with the shortest distance are merged to form
 72 a new prototype. The majority class of all the in-
 73 stances in the new prototype becomes the class of
 74 it. The prototype set is then evaluated by a pro-
 75 totype set score function (PROT.SET_SCORE) to
 76 predict the quality of the prototypes. After the al-
 77 gorithm terminates, the output prototype set will be
 78 used for classifying unseen cases using the simple NN
 79 algorithm.

81 There are many ways to develop the prototype set
 82 score function. As our objective is to learn prototypes
 83 to classify unlabeled instances, classification accuracy
 84 on unseen cases is a reasonable indicator to predict the
 85 quality of prototypes. We divide the training set into a
 86 sub-training set and a tuning set. Prototypes are gener-
 87 ated using the sub-training set. The tuning set is used for
 88 calculating the prototype set score using classification
 89 accuracy. The prototype set with the highest classification
 90 accuracy is the output.

91 To measure the distance between instances with con-
 92 tinuous and nominal feature types, we adopt a hetero-
 93 geneous distance function similar to the one proposed
 94 by [11]. We first normalize all the continuous attributes
 95 by their feature ranges. Euclidean distance is employed
 96 to calculate distances between continuous feature val-
 97 ues whereas a simplified version of value difference
 98 metric (vdm) [26] is used to handle nominal features.
 99 The distance function vdm_i for feature i is defined
 100 as:

$$vdm_i(a, b) = \sum_{c=1}^C \left(\frac{N(i, a, c)}{N(i, a)} - \frac{N(i, b, c)}{N(i, b)} \right)^2,$$

101 where $N(i, a)$ is the number of occurrences of instances
 102 with value a for feature i and $N(i, a, c)$ is the number of
 103 occurrences of instances with value a for feature i and
 104 class label c . C is the total number of classes in the data
 105 set. Our distance measure, $Dist(x, y)$ for two prototypes

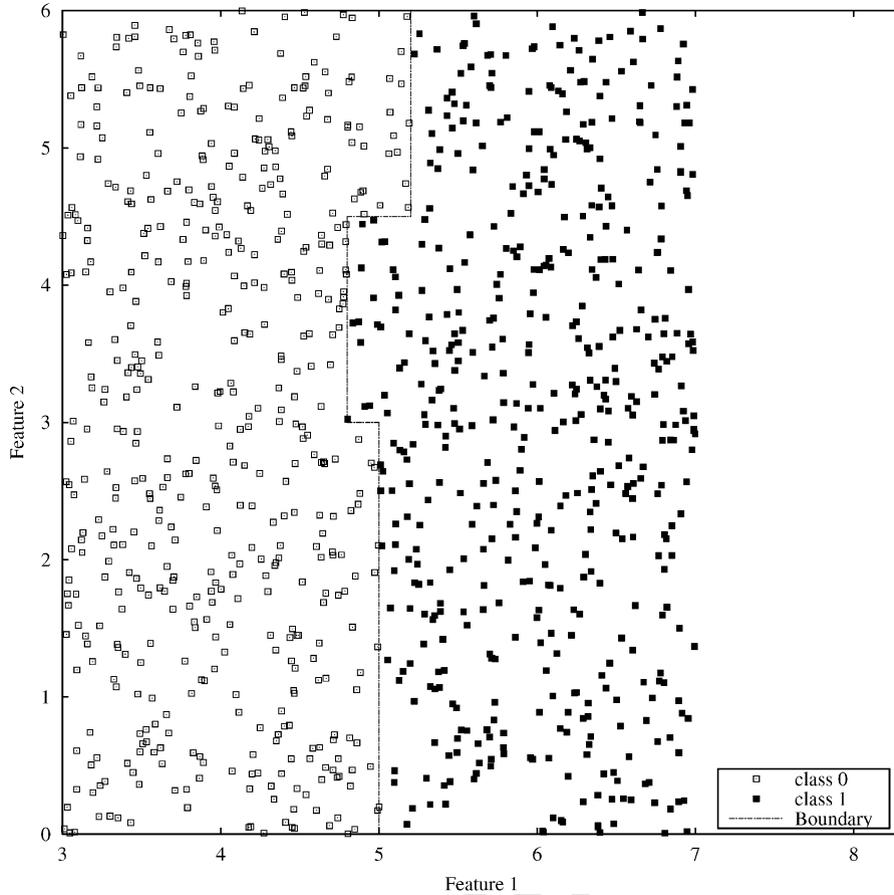


Fig. 1. A data set of two classes.

1 $\mathbf{x} = (x_1, \dots, x_n)$ and $\mathbf{y} = (y_1, \dots, y_n)$, is defined as:

$$Dist(\mathbf{x}, \mathbf{y}) = \sqrt{\sum_{i=0}^n dist_i^2(x_i, y_i)},$$

where n is the number of attributes, and $dist_i(x_i, y_i)$ equals to $vdm_i(x_i, y_i)$ for nominal features and $(x - y)$ for continuous features. We find that vdm and Euclidean distance have different ranges of values leading to different weights for each feature in our distance measure. To ensure an even contribution of each feature, we first calculate the maximum distance of each feature. For continuous feature, the maximum distance is the range of the feature. For discrete feature, the maximum value of vdm among all the possible value pairs of that feature becomes its maximum distance. Then we normalize $dist$ for each feature by its maximum distance.

In abstraction, we attempt to find common characteristics for each class. Therefore, prototypes will be more representative if only homogeneous instances are grouped. To this end, some previous works just split the

```

1   $P = \text{Training Set.}$ 
2   $max\_score = \text{PROT\_SET\_SCORE}(P).$ 
3   $P' = P.$ 
4  while (no. of prototypes in  $P >$  no. of class)
5    Find two nearest prototypes,  $x$  and  $y$  in  $P.$ 
6     $\text{MERGE}(P, x, y).$ 
7    If  $(\text{PROT\_SET\_SCORE}(P) \geq max\_score)$ 
8       $P' = P.$ 
9       $max\_score = \text{PROT\_SET\_SCORE}(P).$ 
10 Return  $P'.$ 

```

Fig. 2. The ABS component in PGF.

training set by each class and learn prototypes for each of them separately [21]. These methods guarantee fully homogeneous prototypes but the entire data distribution

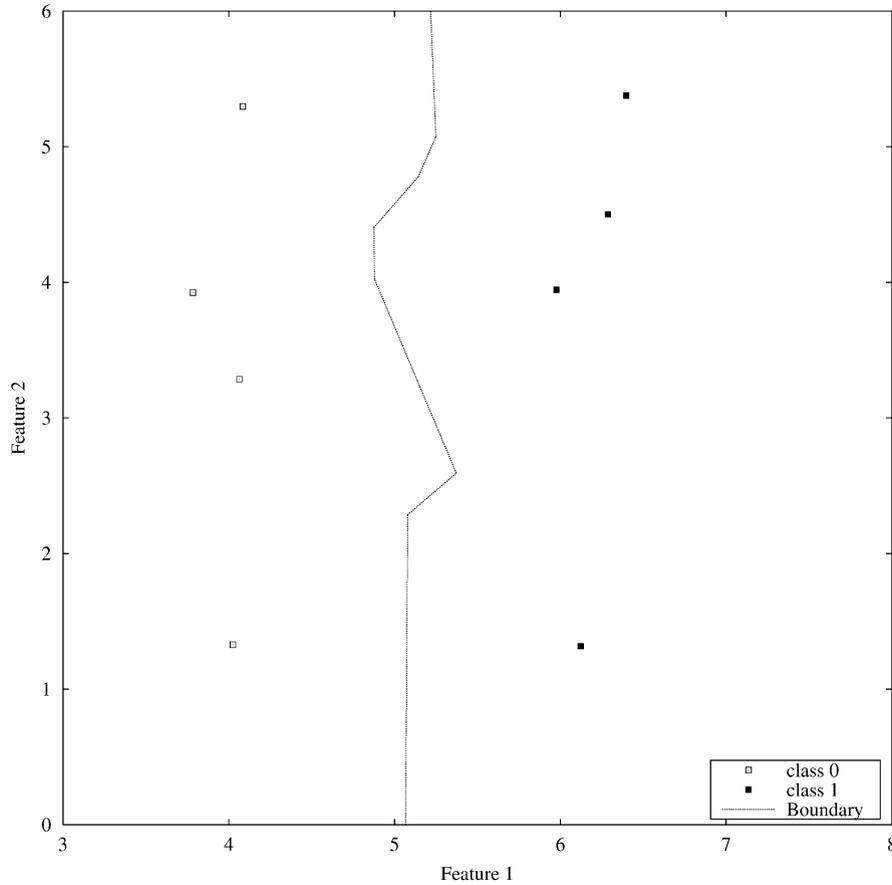


Fig. 3. The prototypes found by applying ABS on the data set in Fig. 1.

1 is distorted. Besides, the advantage of the abstraction
 2 method to generalize away mislabeled instances is dis-
 3 abled. In view of this, we introduce a component, called
 4 *entropy*, into our distance measure. The entropy, $Ent(\mathbf{x})$,
 5 of a prototype \mathbf{x} is related to the class distribution of the
 instances contained in the prototype. It is defined as:

$$Ent(\mathbf{x}) = - \sum_{i=1}^c R(\mathbf{x}, i) \log R(\mathbf{x}, i),$$

7 where $R(\mathbf{x}, i)$ is the relative frequency of the occurrence
 8 of the class label i in the prototype \mathbf{x} . When two proto-
 9 types \mathbf{x} and \mathbf{y} are considered to merge, the entropy dis-
 tance between \mathbf{x} and \mathbf{y} , $E(\mathbf{x}, \mathbf{y})$, is defined as:

$$E(\mathbf{x}, \mathbf{y}) = Ent(\mathbf{z}),$$

11 where \mathbf{z} is a hypothetic prototype generated by merging
 12 \mathbf{x} and \mathbf{y} . If a small entropy is obtained, most instances
 13 in the merged prototypes are of the same class. As the
 14 entropy is of range from 0 to 1, we normalize $Dist$ by
 15 the distance calculated from the maximum distance for

each feature. After the two components are calculated, 17
 a parameter α ($0 \leq \alpha \leq 1$) is then used to control the 18
 weight of their contributions. The final distance function 19
 $FDist$ of PGF is:

$$FDist(\mathbf{x}, \mathbf{y}) = \alpha Dist(\mathbf{x}, \mathbf{y}) + (1 - \alpha) E(\mathbf{x}, \mathbf{y}).$$

This distance measure favors the merging of homoge- 21
 neous instances while preserving the original data distri- 22
 bution. Fig. 3 illustrates the prototypes found by applying 23
 ABS on the data set in Fig. 1.

2.2.2. Instance-filtering component 25

Different types of filtering methods target at retaining 26
 instances in different locations leading to different behav- 27
 iors when integrated with abstraction techniques. We in- 28
 vestigate three filtering techniques in our PGF algorithm. 29

Removing Border Instances. The first one is the ENN 30
 method introduced by [7]. This method discards instances 31
 misclassified by their k nearest neighbors. As outliers and 32
 noise are seldom classified correctly by their neighbors, 33
 they will usually be removed. This method also removes 35

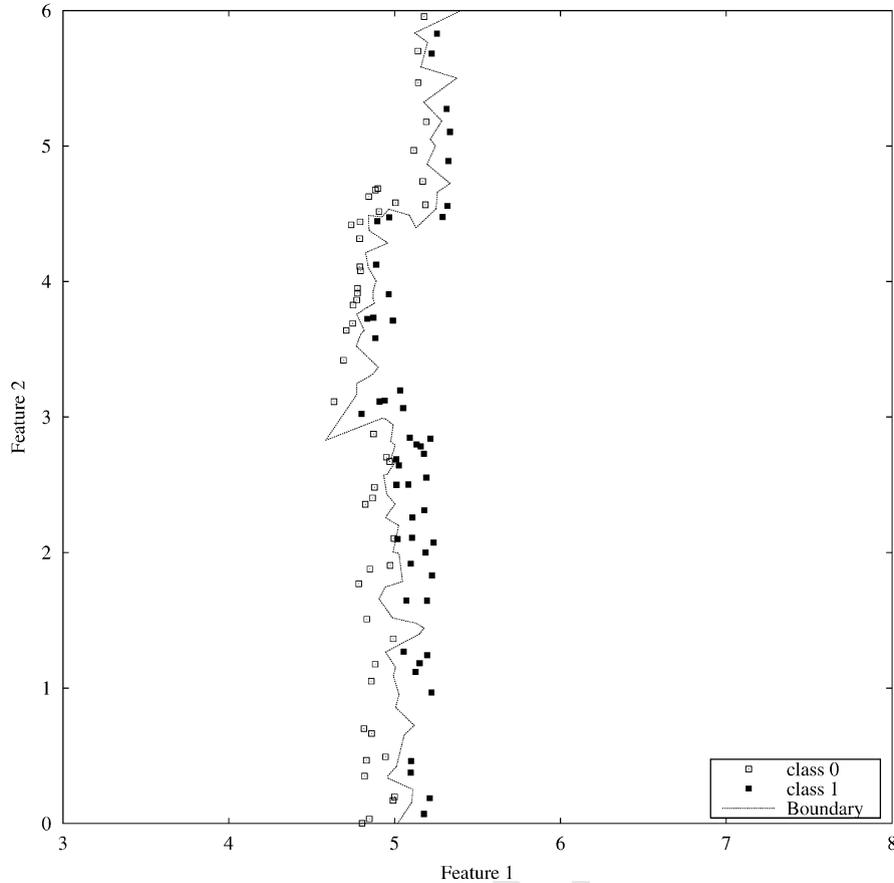


Fig. 4. The prototypes found by applying RT3 on the data set in Fig. 1.

1 border instances as they usually have neighbors of differ- 23
 2 ent classes. It retains intermediate and center instances.
 3 *Retaining Border Instances.* The second filtering rule
 4 is called RT3 proposed by [11]. Initially, each instance
 5 is considered as a prototype. ENN is applied first to filter
 6 out noisy instances. Then the presentation order of instanc-
 7 es is sorted in descending order by the distance of
 8 an instance to its nearest unlike neighbor. It ensures
 9 instances further away from decision borders are processed
 10 first. It then removes an instance if most of its *associates*,
 11 instances in the training set having it as one of their
 12 k nearest neighbors, are classified correctly without it.
 13 Noisy instances are usually removed as they can hardly
 14 classify their associates correctly while border instances
 15 will be retained as their associates tend to be classified
 16 correctly with their contribution in KNN classification.
 17 Fig. 4 illustrates the prototypes found by applying RT3
 18 on the data set in Fig. 1.
 19 *Retaining Center Instances.* The third filtering tech-
 20 nique, called ACC developed by us, tries to find center
 21 instances of compact regions by considering the classifi-
 cation performance of each prototype in the prototype

set. Each instance in the training set are classified by its 23
 NN. If it is correctly classified, classification accuracy of 24
 its NN will be increased. After classifying all the training 25
 instances, ACC discards instances with accuracy lower than 26
 a certain threshold Q . As center instances are usu- 27
 ally neighbors of other instances with the same class, 28
 they usually gain high accuracy and thus being retained 29
 by ACC. Noisy and non-representative instances such as 30
 outliers and exceptions, will be effectively removed as 31
 they usually have lower accuracy.

2.2.3. The PGF algorithm 33

We propose two different integration algorithms which 34
 differ in the filtering granularity as well as the degree of 35
 coupling of the filtering component and the abstraction 36
 component. 37

PGF1. The first algorithm, called PGF1, conducts 38
 filtering on the original instances. As shown in Fig. 5, 39
 it first applies an instance-filtering method as a pre- 40
 processing step before prototype generation. Step 3 is 41
 the prototype generation based on ABS, our proposed 42
 instance-abstraction method. In prototype generation, 43

-
- 1 $P = \text{Training Set}$.
 - 2 $\text{FILTER}(P)$.
 - 3 ABS component (Statements 2–10).
-

Fig. 5. The PGF1 algorithm.

-
- 1 $P = \text{Training Set}$.
 - 2 $\text{max_score} = \text{PROT_SET_SCORE}(P)$.
 - 3 $P' = P$.
 - 4 while (no. of prototypes in $P >$ no. of class)
 - 5 Find two nearest prototypes, x and y in P .
 - 6 $\text{MERGE}(P, x, y)$.
 - 7 $\text{temp} = P$.
 - 8 $\text{FILTER}(\text{temp})$.
 - 9 If ($\text{PROT_SET_SCORE}(\text{temp}) \geq \text{max_score}$)
 - 10 $P' = \text{temp}$.
 - 11 $\text{max_score} = \text{PROT_SET_SCORE}(\text{temp})$.
 - 12 Return P' .
-

Fig. 6. The PGF2 algorithm.

1 grouping of outliers leads to the creation of poor proto-
 types. These poor prototypes will likely result in degrada-
 3 tion in classification accuracy. If outliers or exceptions
 can be removed before the prototype generation is ap-
 5 plied, the result prototypes will have a better quality.
 Moreover, the computational cost of prototype genera-
 7 tion can be significantly reduced as the size of original
 data set becomes smaller after filtering. To achieve
 9 such a purpose, we add the procedure “ $\text{FILTER}(P)$ ”
 just before the abstraction task. Thus, PGF1 essentially
 11 conducts filtering on the original instances.

13 *PGF2*. The second algorithm, called PGF2, conducts
 filtering on the intermediate prototypes in the process of
 15 prototype generation. As shown in Fig. 6, the filtering
 and the abstraction methods are more tightly coupled in
 17 PGF2 compared with PGF1. After two prototypes are
 merged to form a new intermediate prototype, we con-
 19 duct filtering on the current prototype set. The procedure
 “ $\text{FILTER}(\text{temp})$ ” conducts the filtering.

21 Unlike PGF1 which filters on the original instances,
 PGF2 performs filtering on the prototype set. The pro-
 23 totype set usually contains intermediate prototypes and
 original instances. The purpose of filtering is to dis-
 card less representative prototypes and outliers which can
 25 further increase the data reduction rate. On top of this,

filtering can also remove noisy prototypes or instances
 and hence improving the classification accuracy. Fig. 7
 depicts the prototypes found by applying PGF2 on
 the data set shown in Fig. 1. PGF2 can produce good
 29 abstraction prototypes at the bottom half of the figure
 where the decision boundary is smooth in this region.
 31 PGF2 is also able to produce good filtering prototypes at
 the upper half of the figure where the decision boundary
 33 is rugged in this region.

3. Empirical evaluation 35

3.1. Experimental setup 35

37 We have conducted a series of experiments to investi-
 gate the performance of our PGF framework. Thirty-five
 39 real-world benchmark data sets from the widely used UCI
 Repository [27] were tested in the experiments. These
 data sets are collected from different real-world applica-
 41 tion in various domains, such as the city-cycle fuel con-
 sumption (Am), Wisconsin breast cancer (Bc) and the
 famous iris plant database (Ir). Table 1 shows the data
 43 sets and their corresponding code used in this paper.
 45

47 For each data set, we randomly partitioned the data
 into ten even portions. Ten trials derived from 10-fold
 cross-validation were conducted for every set of ex-
 49 periments. The mean of the data retention rate and the
 classification accuracy of 10-fold cross-validation were
 51 obtained for each data set. Note that higher classifica-
 tion accuracy and smaller data retention rate imply
 53 better performance. In the first set of experiments, we
 investigate the performance of different variants of our
 PGF framework. Each variant is constructed by integrat-
 55 ing a particular PGF method with a filtering algorithm.
 PGF1–ENN, PGF1–RT3 and PGF1–ACC refer to the
 57 integration of abstraction with ENN, RT3 and ACC
 filtering methods, respectively, using PGF1 algorithm.
 59 PGF2–ENN, PGF2–RT3 and PGF2–ACC have the simi-
 lar interpretation. We have also conducted some trials
 61 on pure filtering and pure abstraction methods using the
 same data partitions so that comparative analysis can be
 63 conducted. In the second set of experiments, we com-
 pare our algorithm with existing learning algorithms,
 65 namely, C4.5 and KNN.

3.2. Results on PGF framework 67

69 Table 2 shows the average classification accuracy
 and data retention rate of 10-fold cross-validation across
 35 real-world data sets for different variants of the
 PGF. A range of parameters for these algorithms were
 71 tested and the best performance of each algorithm is
 presented. We observe that the performance of PGF
 73 remains quite stable across different parameters. We
 also obtained the performance of pure filtering and pure
 75

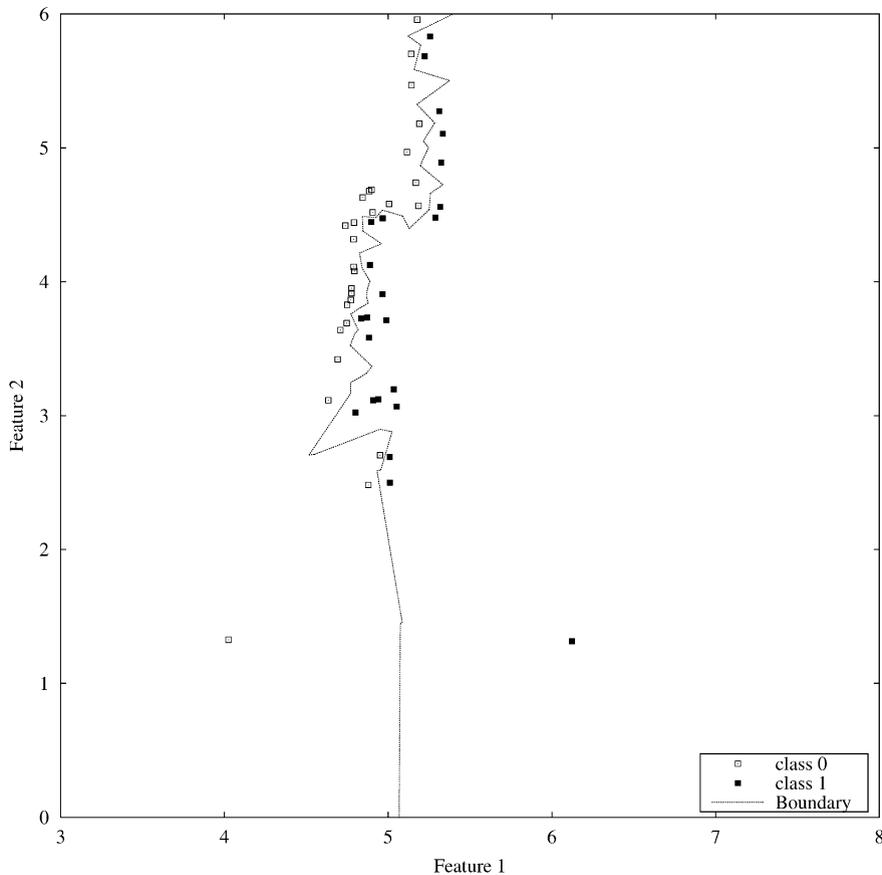


Fig. 7. The prototypes found by applying PGF on the data set in Fig. 1.

1 abstraction methods so that comparative analysis can be
 3 conducted. Table 3 shows the average classification accu-
 5 racy and data retention rate of pure instance-filtering
 7 and instance-abstraction (ABS) methods, as well as C4.5
 9 and KNN. The detailed performance of each algorithm
 11 for each individual data set can be found in Tables 4, 5,
 13 6 and 7.

To investigate the behavior of integrating the two
 9 methods, for each variant of PGF, we first compare it
 11 with the pure filtering method used in the integration
 13 and followed by the pure abstraction method. We first
 15 analyze the behavior of PGF1 and followed by PGF2.

13 3.2.1. Analysis on PGF1

15 *PGF1-ENN.* We investigate ENN and PGF1-ENN
 17 to analyze how the abstraction method can help ENN in
 19 PGF1. From Tables 2 and 3, it is found that the data reten-
 21 tion rate of ENN is dramatically improved from 87.1%
 to 16.3% with less than 2% degradation in classification
 accuracy. ENN retains instances which can be correctly
 classified by their k nearest neighbors. We can imagine
 that if most of the instances are closely and homoge-

neously packed, a large portion of data will be retained
 23 as they are usually correctly classified. This accounts for
 25 the large data retention rate in ENN. On the contrary, our
 27 prototype abstraction method is strong in generalizing
 29 data sets with this kind of structure. Instances in closely
 packed regions will be generalized to a few representa-
 tive prototypes resulting in significant reduction in data
 retention rate.

When comparing PGF1-ENN with ABS, we find that
 31 ENN can assist the abstraction method in PGF1 too. If
 33 ENN is performed before abstraction, noise, outliers and
 35 exceptions can be removed first. The removal of these
 37 instances can avoid the formation of non-representative
 39 prototypes in abstraction. Furthermore, a smoother deci-
 sion boundary can also be obtained by the removal of bor-
 der instances. It may help the generalization of instances
 in abstraction. We can see from Tables 2 and 3 that the
 data retention rate of ABS is improved from 21.6% to
 16.3% while keeping a similar classification accuracy.

41 *PGF1-RT3.* When comparing PGF1-RT3 with RT3,
 43 we find that the abstraction method reduces the average
 data retention rate of RT3 from 14.2% to 6.6% with a

Table 1
Data sets and their codes

Data set	Code
Automobile	Ab
Auto-Mpg	Am
Audiology	Au
Balance-scale	Ba
Breast-cancer-w	Bc
Car	Ca
Credit screening	Cs
Ecoli	Ec
Glass1	Gl
Hepati	He
Ionosphere	Io
Iris	Ir
Letter	Le
Liver	Li
Monk-1	M1
Monk-2	M2
Monk-3	M3
Mushroom	Mu
New-thyroid	Ne
Nursery	Nu
Optdigits	Op
Pendigits	Pe
Pima	Pi
Segmentation	Se
Shuttle	Sh
Sonar	Sn
Soyabean	Sb
Tic-tac-toe	Tt
Voting	Vo
Vowel	Vv
Wdbc	Wd
Wine	Wi
Wpbc	Wp
Yeast	Ye
Zoo	Zo

1 2.1% decrease in classification accuracy. RT3 retains
border instances and discards center and intermediate
3 ones. If abstraction technique is applied on those remain-
ing border instances, the structure of the border may be
5 severely distorted resulting in large degradation in clas-
sification accuracy. However, as our ABS algorithm ap-
7 plies classification accuracy as the prototype set evalua-
tion function, a prototype set with such kind of distorted
9 boundaries will be eliminated. The above results suggest
11 that our abstraction technique can generalize the remain-
ing border instances without severely reducing the rep-
13 resentative power of them.
15 In PGF1, RT3 is found to be beneficial to ABS by com-
paring PGF1–RT3 with ABS. The data retention rate of
17 ABS is significantly improved from 21.6% to 6.6%. RT3
retains border instances only. The elimination of center
instances, noise and outliers results in the improvement in
data retention rate. However, with the absence of center

instances, the representative power of generalized proto-
types formed in abstraction will be decreased. It accounts
for the 2.4% degradation in classification accuracy. 19
21

PGF1–ACC. ACC retains instances with classifica-
tion accuracy higher than a certain threshold. As center
instances usually gain high accuracy, they will be re-
tained. When comparing ABS and PGF1–ACC, we find
that data retention rate of ABS is improved from 21.6%
to 5.5%. Despite the significant improvement in data
retention rate, the classification accuracy of ABS is
degraded from 85.8% to 79.8%. We know that ABS
discovers representative instances by generalizing the
common characteristics of similar instances. How-
ever, in PGF1–ACC, about 90% of instances are
discarded by ACC before ABS is applied. There-
fore the prototypes generated in abstraction will
be less representative leading to the degradation
in classification accuracy. We suggest that filter-
ing methods retaining center instances should not
be used in PGF1 if classification accuracy is the main
concern. 23
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On the contrary, ABS can help ACC in PGF1. When
comparing PGF1–ACC with ABS, we can see that the
data retention rate of ACC is improved from 12.0%
to 5.5% while maintaining similar classification ac-
curacy. It shows that instances selected by ACC is
further refined by ABS to form more representative
prototypes. 41
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3.2.2. Analysis on PGF2 47

PGF2–ENN. We investigate how abstraction tech-
nique benefits to ENN in PGF2. According to the results
of PGF2–ENN and ENN, the data retention rate of ENN
is significantly improved by the abstraction technique,
from 87.1% to 30.0%, with only little degradation in clas-
sification accuracy. ENN removes border instances only
so that a low data reduction rate is yielded. However, our
abstraction technique can generalize similar instances in
compact regions using a few or single abstracted proto-
types. Therefore, if instances are generalized using ab-
straction before, ENN can be performed on a relatively
smaller set of generalized prototypes. It results in signif-
icant improvement in data retention rate without a large
degradation in classification accuracy. 49
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We now compare PGF2–ENN with ABS. As ENN
discards noise and exceptions, any non-representative
and mislabeled prototypes formed in abstraction will be
removed. However, after abstraction, clusters of similar
instances of the same class will be grouped to form gen-
eralized prototypes and neighbors of these prototypes may
probably be abstracted prototypes of different classes.
Then these representative prototypes will be discarded
by ENN as they are not correctly classified by their k
nearest neighbors leading to degradation in classification
accuracy. However, this undesirable effect is eliminated
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Table 2

The average classification accuracy (acc.) and data retention rate (size) of 10-fold cross-validation across 35 real-world data sets for different variants of PGF1 and PGF2

PGF1						PGF2					
PGF1–ENN		PGF1–RT3		PGF1–ACC		PGF2–ENN		PGF2–RT3		PGF2–ACC	
Acc.	Size										
0.846	0.163	0.834	0.066	0.798	0.055	0.851	0.300	0.837	0.085	0.848	0.103

Table 3

The average classification accuracy (acc.) and data retention rate (size) of 10-fold cross-validation across 35 real-world data sets for pure filtering methods, pure abstraction method, C4.5 and KNN

Pure filtering						Pure abstraction		Other methods			
ENN		RT3		ACC		ABS		C4.5		KNN	
Acc.	Size	Acc.	Size	Acc.	Size	Acc.	Size	Acc.	Size	Acc.	Size
0.865	0.871	0.855	0.142	0.800	0.120	0.858	0.216	0.836	—	0.870	1.000

1 in our PGF framework. As classification accuracy is used
 2 as the prototype set score function in PGF, prototype sets
 3 with low accuracy will not be returned as output. From
 4 the above tables, we can see that ABS gains almost the
 5 same level of classification accuracy when integrated
 6 with ENN in PGF2. It is interesting to see that ABS
 7 retains more prototypes, from 21.6% to 30.0%, when
 8 integrated with ENN. Formation of isolated and
 9 representative prototypes are usually done at later stages
 10 in the abstraction process. If ENN is applied during
 11 these stages, useful prototypes will be discarded. To
 12 avoid degradation in classification accuracy, PGF will
 13 select prototype sets formed in earlier abstraction stages.
 14 Therefore, the number of prototypes formed is even
 15 larger than pure prototype abstraction method. These
 16 results suggest that filtering techniques removing border
 17 instances cannot improve the performance of the
 18 abstraction technique in PGF2.

19 *PGF2–RT3*. In PGF2–RT3, RT3 is applied in the
 20 abstraction process. During abstraction process, similar
 21 instances, including border instances, are merged to form
 22 artificial prototypes which are as representative as the
 23 original instances. Therefore, RT3 can retain fewer pro-
 24 totypes to represent the decision boundaries. Compared
 25 with RT3, PGF2–RT3 stores 5.7% fewer of the total
 26 instances with a 1.8% degradation in classification
 27 accuracy.

28 When comparing PGF2–RT3 with ABS, we find that
 29 the data retention rate of ABS is improved from 21.6%
 30 to 8.5% without large degradation in classification accu-
 31 racy. It is because RT3 can eliminate non-representative
 32 prototypes formed by ABS effectively in PGF2. Besides,
 33 RT3 also further reduces the data retention rate of ABS
 34 by removing center prototypes which usually do not af-
 35 fect the decision boundaries. These reasons account for
 the fact that the removal of these kinds of prototypes do

not result in a large decrease in classification accuracy in
 PGF2. 37

38 *PGF2–ACC*. We first investigate how filtering techni-
 39 que assists the abstraction component. From the results
 40 of PGF2–ACC and ABS, we can see that the data reten-
 41 tion rate of ABS is improved from 21.6% to 10.3% with
 42 only 1% decrease in classification accuracy when it is in-
 43 tegrated with ACC using PGF2. ACC retains instances
 44 with accuracy higher than a certain threshold. Therefore,
 45 highly representative instances will be retained and noise
 46 and exceptions can be discarded. If we apply ACC in the
 47 process of abstraction, representative generalized proto-
 48 types will be selected and less representative and misla-
 49 beled ones will be discarded. These reasons account for
 50 the improvement in data reduction rate in PGF2–ACC
 51 with only a little degradation in classification accuracy.

52 For the filtering component ACC in PGF2, ABS can
 53 also help. The results of PGF2–ACC and ACC show that
 54 ACC improves its classification accuracy from 80.0% to
 55 84.8% using even 1.7% fewer prototypes when integrated
 56 with ABS. In abstraction, the most common character-
 57 istics of similar instances are found by generalization of
 58 those instances. Therefore, the representative power of
 59 those generalized prototypes will often be higher than
 60 original instances in the data set. When these highly
 61 representative prototypes are selected, the classification
 62 accuracy of filtering technique can be improved as
 63 shown from the experiment results.

3.2.3. Overall behavior of PGF 65

66 In conclusion, we find that filtering techniques and
 67 abstraction techniques are beneficial to each other in
 68 our PGF framework. In PGF1, filtering techniques
 69 can remove noisy instances and outliers. It avoids the
 70 formation of non-representative prototypes in abstrac-
 71 tion techniques. Also, as different filtering techniques

Table 4

The average classification accuracy and data retention rate (size) of 10-fold cross-validation for PGF1–ENN, PGF1–RT3, and PGF1–ACC. The standard deviation of classification accuracy is given inside the bracket

Data	PGF1–ENN		PGF1–RT3		PGF1–ACC	
	Accuracy	Size	Accuracy	Size	Accuracy	Size
Ab	0.552 (0.078)	0.147	0.555 (0.154)	0.090	0.489 (0.094)	0.049
Am	0.789 (0.045)	0.226	0.797 (0.083)	0.049	0.766 (0.035)	0.032
Au	0.614 (0.113)	0.237	0.606 (0.113)	0.155	0.575 (0.168)	0.118
Ba	0.861 (0.047)	0.152	0.831 (0.069)	0.039	0.824 (0.084)	0.015
Bc	0.960 (0.041)	0.121	0.957 (0.031)	0.009	0.961 (0.041)	0.026
Ca	0.932 (0.036)	0.208	0.931 (0.019)	0.048	0.902 (0.022)	0.069
Cs	0.832 (0.044)	0.058	0.845 (0.040)	0.023	0.845 (0.042)	0.023
Ec	0.860 (0.040)	0.101	0.872 (0.074)	0.036	0.806 (0.087)	0.034
Gl	0.588 (0.184)	0.058	0.570 (0.172)	0.047	0.523 (0.051)	0.033
He	0.813 (0.090)	0.027	0.819 (0.079)	0.033	0.805 (0.138)	0.019
Io	0.880 (0.077)	0.109	0.838 (0.089)	0.035	0.855 (0.065)	0.022
Ir	0.913 (0.090)	0.038	0.940 (0.054)	0.038	0.927 (0.112)	0.023
Le	0.710 (0.045)	0.335	0.659 (0.032)	0.189	0.521 (0.075)	0.084
Li	0.559 (0.121)	0.152	0.577 (0.081)	0.074	0.545 (0.089)	0.067
M1	0.919 (0.070)	0.238	0.928 (0.110)	0.151	0.826 (0.109)	0.173
M2	0.939 (0.079)	0.125	0.968 (0.022)	0.106	0.915 (0.044)	0.097
M3	0.948 (0.055)	0.055	0.950 (0.052)	0.055	0.914 (0.096)	0.065
Mu	0.997 (0.008)	0.011	0.996 (0.012)	0.009	0.993 (0.011)	0.010
Ne	0.926 (0.032)	0.060	0.889 (0.110)	0.031	0.852 (0.098)	0.028
Nu	0.847 (0.057)	0.156	0.834 (0.049)	0.074	0.841 (0.043)	0.089
Op	0.958 (0.027)	0.328	0.916 (0.036)	0.041	0.911 (0.018)	0.042
Pe	0.973 (0.030)	0.234	0.960 (0.031)	0.064	0.928 (0.025)	0.066
Pi	0.722 (0.063)	0.209	0.759 (0.121)	0.007	0.706 (0.116)	0.059
Se	0.948 (0.007)	0.236	0.936 (0.028)	0.071	0.911 (0.028)	0.076
Sh	0.984 (0.042)	0.209	0.974 (0.034)	0.022	0.981 (0.036)	0.061
Sn	0.833 (0.201)	0.472	0.697 (0.075)	0.107	0.716 (0.142)	0.051
Sb	0.889 (0.046)	0.221	0.867 (0.058)	0.090	0.757 (0.061)	0.069
Tt	0.881 (0.037)	0.326	0.859 (0.046)	0.136	0.821 (0.037)	0.083
Vo	0.919 (0.039)	0.104	0.915 (0.038)	0.025	0.924 (0.030)	0.025
Vw	0.959 (0.035)	0.252	0.914 (0.029)	0.198	0.632 (0.069)	0.096
Wd	0.954 (0.036)	0.195	0.949 (0.038)	0.014	0.933 (0.037)	0.037
Wi	0.938 (0.033)	0.112	0.948 (0.094)	0.032	0.932 (0.100)	0.021
Wp	0.747 (0.135)	0.033	0.703 (0.220)	0.056	0.728 (0.143)	0.019
Ye	0.560 (0.050)	0.067	0.516 (0.079)	0.074	0.524 (0.044)	0.067
Zo	0.920 (0.101)	0.077	0.900 (0.100)	0.089	0.830 (0.201)	0.077
Average	0.846	0.163	0.834	0.066	0.798	0.055

1 remove instances in different regions, we can find different
 2 different improvements in data retention rate when comparing
 3 different variants of PGF1 with pure abstraction method. Empirical
 4 results show that the filtering technique discarding border instances
 5 (ENN) seems to be most beneficial when integrated with the abstraction
 6 technique as it significantly reduces the data retention rate of
 7 abstraction method while maintaining similar classification accuracy.
 8 Though we find that the filtering technique retaining border instances

(RT3) obtains similar benefits from the abstraction technique in PGF1,
 11 it may not work equally well if other abstraction techniques are used.
 12 It is because abstraction of border instances often leads to severe
 13 destruction of class boundaries and such prototype sets may be returned
 14 as output if classification accuracy is not used in the prototype set
 15 evaluation. The filtering technique retaining center instances (ACC)
 16 is found not suitable in PGF1 as it reduces the representative power
 17 of generated prototypes in the

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Table 5

The average classification accuracy and data retention rate (size) of 10-fold cross-validation for PGF2–ENN, PGF2–RT3 and PGF2–ACC. The standard deviation of classification accuracy is given inside the bracket

Data	PGF2–ENN		PGF2–RT3		PGF2–ACC	
	Accuracy	Size	Accuracy	Size	Accuracy	Size
Ab	0.616 (0.125)	0.273	0.542 (0.117)	0.137	0.586 (0.163)	0.202
Am	0.774 (0.084)	0.346	0.772 (0.156)	0.090	0.786 (0.076)	0.101
Au	0.644 (0.271)	0.334	0.588 (0.154)	0.169	0.672 (0.135)	0.130
Ba	0.855 (0.045)	0.178	0.855 (0.060)	0.033	0.853 (0.041)	0.012
Bc	0.960 (0.049)	0.087	0.966 (0.062)	0.012	0.963 (0.037)	0.026
Ca	0.933 (0.021)	0.633	0.946 (0.020)	0.076	0.935 (0.019)	0.176
Cs	0.829 (0.061)	0.054	0.826 (0.040)	0.034	0.842 (0.041)	0.019
Ec	0.854 (0.100)	0.194	0.852 (0.084)	0.079	0.833 (0.074)	0.117
Gl	0.644 (0.128)	0.108	0.550 (0.283)	0.066	0.649 (0.213)	0.051
He	0.832 (0.121)	0.081	0.805 (0.097)	0.031	0.818 (0.097)	0.031
Io	0.858 (0.135)	0.203	0.872 (0.088)	0.060	0.874 (0.074)	0.035
Ir	0.933 (0.104)	0.115	0.907 (0.089)	0.050	0.933 (0.104)	0.073
Le	0.716 (0.081)	0.609	0.661 (0.057)	0.240	0.701 (0.059)	0.206
Li	0.570 (0.165)	0.271	0.620 (0.076)	0.078	0.585 (0.119)	0.072
M1	0.889 (0.074)	0.623	0.944 (0.092)	0.243	0.939 (0.082)	0.250
M2	0.957 (0.032)	0.488	0.960 (0.029)	0.165	0.951 (0.062)	0.120
M3	0.953 (0.067)	0.190	0.951 (0.036)	0.055	0.950 (0.081)	0.093
Mu	0.997 (0.009)	0.114	0.990 (0.010)	0.007	0.995 (0.008)	0.010
Ne	0.934 (0.113)	0.206	0.934 (0.087)	0.036	0.925 (0.075)	0.059
Nu	0.842 (0.031)	0.346	0.844 (0.024)	0.077	0.853 (0.035)	0.144
Op	0.951 (0.038)	0.350	0.919 (0.037)	0.059	0.946 (0.032)	0.114
Pe	0.979 (0.009)	0.417	0.954 (0.007)	0.071	0.972 (0.028)	0.104
Pi	0.709 (0.086)	0.223	0.716 (0.111)	0.026	0.715 (0.078)	0.046
Se	0.950 (0.012)	0.593	0.941 (0.016)	0.086	0.952 (0.015)	0.143
Sh	0.986 (0.039)	0.295	0.983 (0.042)	0.023	0.985 (0.042)	0.142
Sn	0.818 (0.103)	0.468	0.740 (0.062)	0.122	0.789 (0.090)	0.131
Sb	0.895 (0.034)	0.445	0.891 (0.054)	0.121	0.861 (0.068)	0.156
Tt	0.874 (0.045)	0.473	0.845 (0.032)	0.139	0.865 (0.061)	0.197
Vo	0.915 (0.070)	0.123	0.915 (0.033)	0.042	0.926 (0.047)	0.061
Vw	0.968 (0.040)	0.686	0.923 (0.045)	0.275	0.944 (0.039)	0.210
Wd	0.940 (0.051)	0.291	0.942 (0.052)	0.023	0.942 (0.053)	0.092
Wi	0.955 (0.035)	0.177	0.955 (0.025)	0.043	0.949 (0.050)	0.086
Wp	0.763 (0.148)	0.093	0.717 (0.080)	0.037	0.748 (0.120)	0.015
Ye	0.549 (0.052)	0.292	0.561 (0.041)	0.081	0.523 (0.056)	0.103
Zo	0.930 (0.108)	0.120	0.920 (0.071)	0.098	0.920 (0.101)	0.085
Average	0.851	0.300	0.837	0.085	0.848	0.103

1 abstraction method. On the other hand, the abstraction
 2 method also helps filtering techniques to improve
 3 their data reduction rates effectively in PGF1. The three
 4 filtering techniques achieve significant improvements
 5 in data reduction when comparing with their PGF1
 6 variants.

7 In PGF2, we find that both filtering techniques re-
 8 moving border instances (ENN) and retaining bor-
 9 der instances (RT3) perform better by reducing their
 10 data retention rate while maintaining similar classifi-
 11 cation accuracy when integrated with ABS in PGF2.

For the filtering technique retaining center instances
 (ACC), in addition to the data retention rate, the classifi-
 cation accuracy is also significantly improved in PGF2.
 It seems to be the most suitable filtering technique to
 integrate with ABS in PGF2. On the other hand, ABS
 cannot be beneficial from all the filtering techniques.
 The data retention rate of ABS is significantly reduced
 by filtering techniques retaining border (RT3) and center
 (ACC) instances without severely sacrificing the classifi-
 cation accuracy. However, for the filtering technique
 removing border instances (ENN), we find that both the

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Table 6

The average classification accuracy and data retention rate (size) of 10-fold cross-validation for pure filtering methods, namely, ENN, RT3, ACC, as well as the pure abstraction method. The standard deviation of classification accuracy is given inside the bracket

Data	Pure filtering						Pure abstraction	
	ENN		RT3		ACC		ABS	
	Accuracy	Size	Accuracy	Size	Accuracy	Size	Accuracy	Size
Ab	0.640 (0.094)	0.763	0.621 (0.152)	0.319	0.489 (0.093)	0.084	0.723 (0.150)	0.535
Am	0.799 (0.110)	0.787	0.794 (0.067)	0.136	0.764 (0.086)	0.094	0.746 (0.056)	0.188
Au	0.680 (0.175)	0.773	0.667 (0.116)	0.247	0.579 (0.187)	0.147	0.725 (0.102)	0.406
Ba	0.864 (0.044)	0.784	0.837 (0.065)	0.103	0.818 (0.038)	0.091	0.779 (0.076)	0.103
Bc	0.967 (0.039)	0.953	0.958 (0.039)	0.034	0.965 (0.035)	0.122	0.964 (0.028)	0.091
Ca	0.939 (0.015)	0.959	0.952 (0.018)	0.112	0.901 (0.019)	0.114	0.954 (0.014)	0.349
Cs	0.823 (0.048)	0.815	0.826 (0.035)	0.085	0.833 (0.034)	0.101	0.822 (0.057)	0.022
Ec	0.866 (0.056)	0.808	0.878 (0.059)	0.108	0.818 (0.090)	0.092	0.828 (0.069)	0.163
Gl	0.719 (0.267)	0.695	0.672 (0.341)	0.211	0.532 (0.101)	0.059	0.584 (0.173)	0.068
He	0.856 (0.157)	0.809	0.856 (0.208)	0.093	0.825 (0.095)	0.097	0.819 (0.128)	0.049
Io	0.846 (0.048)	0.868	0.869 (0.047)	0.068	0.866 (0.032)	0.099	0.892 (0.061)	0.196
Ir	0.953 (0.063)	0.954	0.947 (0.114)	0.080	0.933 (0.091)	0.118	0.927 (0.087)	0.097
Le	0.740 (0.054)	0.815	0.696 (0.050)	0.282	0.524 (0.085)	0.099	0.774 (0.048)	0.456
Li	0.597 (0.067)	0.623	0.566 (0.110)	0.218	0.563 (0.092)	0.080	0.571 (0.098)	0.153
M1	0.928 (0.082)	0.966	0.971 (0.071)	0.296	0.831 (0.099)	0.247	0.975 (0.040)	0.303
M2	0.979 (0.021)	0.997	0.984 (0.014)	0.182	0.933 (0.070)	0.271	0.962 (0.074)	0.129
M3	0.950 (0.069)	0.957	0.955 (0.069)	0.086	0.939 (0.074)	0.243	0.960 (0.050)	0.128
Mu	0.998 (0.007)	1.000	0.998 (0.007)	0.015	0.992 (0.011)	0.149	0.997 (0.008)	0.011
Ne	0.963 (0.048)	0.967	0.948 (0.073)	0.111	0.833 (0.097)	0.115	0.944 (0.042)	0.153
Nu	0.844 (0.032)	0.857	0.837 (0.052)	0.150	0.840 (0.046)	0.101	0.850 (0.023)	0.271
Op	0.959 (0.036)	0.981	0.928 (0.027)	0.100	0.919 (0.023)	0.104	0.956 (0.045)	0.254
Pe	0.985 (0.014)	0.987	0.959 (0.016)	0.098	0.930 (0.033)	0.109	0.977 (0.012)	0.279
Pi	0.753 (0.104)	0.704	0.719 (0.097)	0.141	0.711 (0.101)	0.076	0.730 (0.043)	0.050
Se	0.956 (0.011)	0.967	0.953 (0.032)	0.105	0.919 (0.033)	0.147	0.965 (0.016)	0.325
Sh	0.985 (0.048)	0.996	0.983 (0.045)	0.037	0.982 (0.036)	0.115	0.987 (0.045)	0.214
Sn	0.833 (0.231)	0.860	0.812 (0.046)	0.226	0.716 (0.195)	0.097	0.866 (0.084)	0.552
Sb	0.909 (0.066)	0.913	0.889 (0.051)	0.155	0.760 (0.051)	0.132	0.908 (0.043)	0.439
Tt	0.887 (0.031)	0.916	0.876 (0.025)	0.181	0.824 (0.040)	0.100	0.896 (0.018)	0.418
Vo	0.936 (0.048)	0.924	0.922 (0.098)	0.062	0.913 (0.060)	0.136	0.915 (0.099)	0.075
Vw	0.987 (0.015)	0.989	0.956 (0.017)	0.293	0.635 (0.071)	0.110	0.971 (0.033)	0.252
Wd	0.958 (0.031)	0.954	0.952 (0.041)	0.056	0.950 (0.022)	0.106	0.938 (0.045)	0.191
Wi	0.954 (0.054)	0.951	0.938 (0.125)	0.114	0.887 (0.107)	0.101	0.938 (0.075)	0.112
Wp	0.733 (0.104)	0.712	0.723 (0.153)	0.138	0.738 (0.170)	0.071	0.747 (0.129)	0.018
Ye	0.562 (0.031)	0.528	0.544 (0.040)	0.173	0.522 (0.038)	0.076	0.505 (0.068)	0.404
Zo	0.910 (0.087)	0.963	0.931 (0.059)	0.169	0.830 (0.201)	0.199	0.920 (0.101)	0.109
Average	0.865	0.871	0.855	0.142	0.800	0.120	0.858	0.216

1 data retention rate and classification accuracy of ABS
are degraded in PGF.

3 3.2.4. Comparisons with other approaches

5 In the second set of experiments, we compare PGF
with existing algorithms, namely, C4.5 and KNN. In
7 KNN, a range of k ($k = 1, 3, 5, 7, 9, 11, 13, 15, 20$) is
9 tested and the best results are reported. Tables 2 and
3 show the average classification accuracy and data

retention rate of 10-fold cross-validation of these algo-
rithms across the same 35 data sets.

11 PGF (PGF2–ACC) performs slightly better than C4.5
13 in the average classification accuracy across all the data
sets. When compared with KNN, PGF2–ACC stores
15 only 10% of total data and gains a comparable accuracy.
Hence, PGF2–ACC achieves comparable classification
17 performance with state-of-the-art learning algorithms
19 such as C4.5 and KNN. More importantly, PGF2–ACC

Table 7

The average classification accuracy and data retention rate (size) of 10-fold cross-validation for C4.5, KNN, PGF1–RT3, PGF2–RT3 and PGF2–ACC. The standard deviation of classification accuracy is given inside the bracket

Data	C4.5 Accuracy	KNN Accuracy	PGF					
			PGF1–RT3		PGF2–RT3		PGF2–ACC	
			Accuracy	Size	Accuracy	Size	Accuracy	Size
Ab	0.794 (0.156)	0.766 (0.076)	0.555 (0.154)	0.090	0.542 (0.117)	0.137	0.586 (0.163)	0.202
Am	0.776 (0.056)	0.771 (0.082)	0.797 (0.083)	0.049	0.772 (0.156)	0.090	0.786 (0.076)	0.101
Au	0.756 (0.064)	0.761 (0.102)	0.606 (0.113)	0.155	0.588 (0.154)	0.169	0.672 (0.135)	0.130
Ba	0.792 (0.066)	0.775 (0.066)	0.831 (0.069)	0.039	0.855 (0.060)	0.033	0.853 (0.041)	0.012
Bc	0.939 (0.041)	0.960 (0.014)	0.957 (0.031)	0.009	0.966 (0.062)	0.012	0.963 (0.037)	0.026
Ca	0.928 (0.012)	0.956 (0.016)	0.931 (0.019)	0.048	0.946 (0.020)	0.076	0.935 (0.019)	0.176
Cs	0.832 (0.054)	0.807 (0.047)	0.845 (0.040)	0.023	0.826 (0.040)	0.034	0.842 (0.041)	0.019
Ec	0.822 (0.060)	0.822 (0.095)	0.872 (0.074)	0.036	0.852 (0.084)	0.079	0.833 (0.074)	0.117
Gl	0.666 (0.083)	0.681 (0.300)	0.570 (0.172)	0.047	0.550 (0.283)	0.066	0.649 (0.213)	0.051
He	0.773 (0.182)	0.805 (0.186)	0.819 (0.079)	0.033	0.805 (0.097)	0.031	0.818 (0.097)	0.031
Io	0.900 (0.032)	0.866 (0.058)	0.838 (0.089)	0.035	0.872 (0.088)	0.060	0.874 (0.074)	0.035
Ir	0.953 (0.063)	0.947 (0.043)	0.940 (0.054)	0.038	0.907 (0.089)	0.050	0.933 (0.104)	0.073
Le	0.692 (0.043)	0.810 (0.034)	0.659 (0.032)	0.189	0.661 (0.057)	0.240	0.701 (0.059)	0.206
Li	0.642 (0.054)	0.632 (0.089)	0.577 (0.081)	0.074	0.620 (0.076)	0.078	0.585 (0.119)	0.072
M1	0.960 (0.084)	0.969 (0.039)	0.928 (0.110)	0.151	0.944 (0.092)	0.243	0.939 (0.082)	0.250
M2	0.625 (0.079)	0.993 (0.016)	0.968 (0.022)	0.106	0.960 (0.029)	0.165	0.951 (0.062)	0.120
M3	0.988 (0.033)	0.955 (0.045)	0.950 (0.052)	0.055	0.951 (0.036)	0.055	0.950 (0.081)	0.093
Mu	0.997 (0.006)	0.999 (0.002)	0.996 (0.012)	0.009	0.990 (0.010)	0.007	0.995 (0.008)	0.010
Ne	0.921 (0.081)	0.972 (0.031)	0.889 (0.110)	0.031	0.934 (0.087)	0.036	0.925 (0.075)	0.059
Nu	0.909 (0.018)	0.863 (0.024)	0.834 (0.049)	0.074	0.844 (0.024)	0.077	0.853 (0.035)	0.144
Op	0.824 (0.029)	0.962 (0.045)	0.916 (0.036)	0.041	0.919 (0.037)	0.059	0.946 (0.032)	0.114
Pe	0.914 (0.015)	0.987 (0.009)	0.960 (0.031)	0.064	0.954 (0.007)	0.071	0.972 (0.028)	0.104
Pi	0.694 (0.085)	0.706 (0.114)	0.759 (0.121)	0.007	0.716 (0.111)	0.026	0.715 (0.078)	0.046
Se	0.951 (0.015)	0.967 (0.016)	0.936 (0.028)	0.071	0.941 (0.016)	0.086	0.952 (0.015)	0.143
Sh	0.989 (0.045)	0.987 (0.050)	0.974 (0.034)	0.022	0.983 (0.042)	0.023	0.985 (0.042)	0.142
Sn	0.706 (0.094)	0.876 (0.152)	0.697 (0.075)	0.107	0.740 (0.062)	0.122	0.789 (0.090)	0.131
Sb	0.930 (0.034)	0.908 (0.053)	0.867 (0.058)	0.090	0.891 (0.054)	0.121	0.861 (0.068)	0.156
Tt	0.862 (0.036)	0.914 (0.027)	0.859 (0.046)	0.136	0.845 (0.032)	0.139	0.865 (0.061)	0.197
Vo	0.960 (0.021)	0.935 (0.031)	0.915 (0.038)	0.025	0.915 (0.033)	0.042	0.926 (0.047)	0.061
Vw	0.779 (0.046)	0.992 (0.016)	0.914 (0.029)	0.198	0.923 (0.045)	0.275	0.944 (0.039)	0.210
Wd	0.944 (0.031)	0.945 (0.028)	0.949 (0.038)	0.014	0.942 (0.052)	0.023	0.942 (0.053)	0.092
Wi	0.888 (0.081)	0.954 (0.054)	0.948 (0.094)	0.032	0.955 (0.025)	0.043	0.949 (0.050)	0.086
Wp	0.676 (0.168)	0.701 (0.108)	0.703 (0.220)	0.056	0.717 (0.080)	0.037	0.748 (0.120)	0.015
Ye	0.545 (0.049)	0.524 (0.054)	0.516 (0.079)	0.074	0.561 (0.041)	0.081	0.523 (0.056)	0.103
Zo	0.926 (0.101)	0.970 (0.034)	0.900 (0.100)	0.089	0.920 (0.071)	0.098	0.920 (0.101)	0.085
Average	0.836	0.870	0.834	0.066	0.837	0.085	0.848	0.103

1 can drastically reduce the data size to less than 10% of
 2 the original size on average.

4. Conclusions

5 We have presented a new prototype generation
 6 method, called PGF, which integrates the strength of

instance-filtering and instance-abstraction techniques.
 We investigate classification performance and the
 data retention rate of different variants of PGF on 35
 real-world benchmark data sets. We have also conducted
 experiments using pure filtering, pure abstraction, as
 well as C4.5 and KNN. PGF is found to be effective
 in reducing the data set size while maintaining or even
 improving the classification accuracy.

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